SEARCH 2016 ANNUAL REPORT

Mission: Through sustained investment in rigorous research, HHRF serves as a catalyst to advance global knowledge of horse-human interactions and their impact on health and wellness.

HIGHLIGHTS FROM 2016

- \$88,000 awarded to Slippery Rock University for therapeutic riding and autism spectrum disorder research.
- University of Missouri-Columbia College of Veterinary Medicine reported on PTSD/military veterans research findings.
- Achieved the highest number of donors in a single year in HHRF history.
- Launched our Focused Research Initiative to help build capacity and direct future research funding.
- Findings were reported from Baylor University's research on PTSD and military veterans.
- The HHRF Board awarded their first Science Achievement Award to Nancy McGibbon, MS, PT, HPCS.
- HHRF welcomed new Executive Director Kenneth Boyden, J.D., Ed.D. and gratefully thanked parting ED KC Henry.



Image courtesy Caisson Platoon Equine Assisted Partners

Grant Application Recapitulation 2006-2016**

Research Grant Applications Received: 265 Number of Universities represented in applications: 196

Number of EAA/T programs represented in applications: 172

Number of Organizations (including Veterans Admin. programs) represented in applications: 29

Number of USA States represented in application: 42

Number of worldwide countries represented in application: 17

**Some applications are from combined sources

HHRF has funded eleven equine-assisted activity projects in as many

years. Ten projects have been completed. Three of the awarded projects studied Cerebral Palsy/movement/balance, three studie post- traumatic stress disorder, three focused on autism, one regarding children with bonding issues and one on the horse's response to physical/cognitive disorders. Three of the completed projects have been published in peer-reviewed journals and three had their pilot studies published.

About the HHRF research review process: The applications go through a four-tier review process: 1) Internal screening, assessing for complete applications that have followed the required process and format. 2) Applications that move forward are reviewed by at least two scientific review committee members. A detailed assessment including scoring and comments is completed for every application and each applicant receives feedback from these forms. 3) Two to four finalists are reviewed by a three member Scientific Review Committee team who identify all finalists worthy of funding. 4) Scientific Review Committee members present the finalists to the Board of Directors, who make the final decisions regarding the actual funding award(s). Awarded grants/ teams are tracked by staff and Scientific Advisory Council representatives. Projects are monitored from start to finish. Final funding is awarded when the project and data analysis are complete.

FUNDED RESEARCH

- 1. "Improvement in Trunk/Head Stability and Upper Extremity Control after HPOT," Washington University School of Medicine, Program in Occupational Therapy - St. Louis, MO. Principal Investigators: Tim Shurtleff, Dr. Jack Engsberg, Dr. John Standeven. Funded 2006. Status: Published in the Archives of Physical Medicine and Rehabilitation, 2009 Jul;90(7):1185-95.
- 2. "The Effect of Equine Assisted Activities on the Social Functioning in Children with Autism," Good Hope Equestrian Training Center -Miami, FL, USA. Principal Investigator: Dr. Margaret Bass. Funded 2008. Status: Submitted for publication, pilot study results published in the Journal for Autism & Developmental Disorders.
- 3. "Hippotherapy to Improve Postural Control in Children with Cerebral Palsy," Â Université de Sherbrooke - Quebec, Canada. Principal Investigators: Dr. Helene Corriveau, Dr. Claude Dugas, Danielle Champagne. Funded 2010. Status: Submitted for publication.
- 4. "Effects of Hippotherapy on Balance and Gait in Ambulatory Children with Spastic Cerebral Palsy," Central Michigan University, USA. Principal Investigators: Debbie Silkwood-Sherer and Nancy McGibbon. Funded 2010. Status: Pilot study published in Physical Therapy, Journal of the American Physical Therapy Association May, 2012.
- 5. "Basic Neurobiological and Psychological Mechanisms Underlying Therapeutic Effects of Equine Assisted Activities (EAA/T)," University of Rostock, Germany. Principal Investigators: Dr. Andrea Beetz, Dr. Henri Julius, Dr. Kurt Kotrschal, and Dr. Kerstin Uvnas-Moberg. Funded 2010. Published in the Journal of Occupational Therapy, Schools and Early Intervention, 2015.
- 6. "Effects of Hippotherapy on Children with Autism Spectrum Disorders," Washington University School of Medicine, St. Louis, MO, USA. Principal Investigator: Tim Shurtleff. Funded 2011. Status: Pilot study published in American Journal of Occupational Therapy, Nov/Dec 2013.
- 7. "Effects of Equine-Assisted Activities on PTSD Symptoms, Coping Self-Efficacy, Emotion Regulation, and Social Engagement in Military Veterans," University of Missouri, Columbia, MO, USA. Principal Investigator: Dr. Rebecca Johnson. Funded 2012. Status: Study completed, preparing for submission.
- 8. "Effects of Equine Facilitated Psychotherapy on Post-Traumatic Stress Symptoms in Male Youth," Cummings School of Veterinary Medicine at Tufts University, Medford, MA, USA. Principal Investigator: Dr. Megan Mueller. Funded in 2013, Published in the Journal of Child and Family Studies 2017.
- 9. "Examination of the Effects of Equine Assisted Activities on PTSD Symptoms, Quality of Life and Participation in Combat Veterans," Baylor University, Waco, TX. Principal Investigator: Dr. Beth Lanning. Funded 2014. Status: preparing a submission.
- 10. "Can Horses Distinguish Between Neurotypical and Mentally Traumatized Humans?" University of Guelph, Guelph, ON. Canada. Principal Investigator: Katrina Merkies, PhD. Funded 2015. Status: preparing a submission.
- 11. "The Effect of Therapeutic Riding on Stress Levels in Young Adults with Autism Spectrum Disorders, 'Slippery Rock University, Slippery Rock, PA. Principal Investigator: Elizabeth Kemeny, PhD. Funded 2016. Status: Active.

Details and updates at the HHRF website

Strategic Plan

Mission:

Through sustained investment in rigorous research, HHRF serves as a catalyst to advance global knowledge of horse-human interactions and their impact on health and wellness.

Vision:

A world where the unique capacity of the horse to transform lives is universally understood and valued.

Values:

EXCELLENCE: Driven to facilitate and support the highest caliber of competitively selected scientific research; Strive for creative solutions, originality and innovation; Rigorous and unbiased pursuit and transmission of new knowledge through research; Cooperation, collaboration and teamwork in achieving our mission

RESPECT: Guided by the highest ethical and professional standards as they relate to humans and equine research; Embracing diversity, integrity and mutual respect as paramount in all activities, business management and personal interactions

ACCOUNTABILITY: Ensuring wise and efficient use of resources; Upholding highest level of transparency in all dealings; Committed to consistent, open communications with our stakeholders

I: Expand our capacity to fund RESEARCH

- Increase the scale and impact of the endowment fund
- Establish a thriving planned giving fund
- Diversify and increase investment from individual donors
- Increase support from foundations and corporations

II. Fund projects that clearly address prioritized RE-**SEARCH Gaps**

- Expand Scientific Advisory Council's role in directing research/indentifying gaps
- Enhance proficiency of internal research support pro-
- Enhance the capacity of Focused Research Initiatives

III. Develop collaborations to advance RESEARCH priorities and fund strategic objectives

- Pursue allied associations to advance research objectives
- Establish corporate funding partners
- Partner with a university to complete a systematic analysis



Finance Summary

INCOME

Fundraising

Total Expenditures

Grants

Grants	\$117,515	21/0	WAVE
Special events	\$4,090	3%	
In-kind	\$1,625	1%	
Interest	\$2,722	2%	
Investment, dividend and investment gain	. ,	<1%	_
Total Income	\$156,976		
			4
EXPENDITURES			
Program	\$164,516	77%	
General & Administra	ative \$31,559	15%	

\$16,394

\$212,469

\$147.315

94%

8%

Full financial reviews and 990's available at www.horsesandhumans.org

HHRF's financial position remains very stable. Contributions decreased in 2016 as compared to the previous year due to the special 10-year anniversary campaign in 2015, but showed a strong base comparison. We received a restricted grant for capacity building, most of which will be used in 2017. Our 10-year anniversary grant was awarded in 2016 - our largest grant ever! Other expenses increased by a modest 1.4% from their 2015 levels. Our endowment has grown 8.7% from inception to a total of more than \$125,000. Overall, 2016 built a solid basis for our strategic plans moving forward. \$88,000 (accounted under program expenses) was awarded in a research grant in 2015, but funding was contributed/accounted for in 2015, causing the appearance of discrepancy in 2016 income and expense.

2016 Donors

Abby Road Group Adventures in Awareness Heather Ajzenman David and Ada Alden Ann Alden Alexandra Alden Smith Kathy Alm Laura and Bob Amick **Animal Systems Anonymous Anonymous Anonymous Anonymous** Arivaca Boys Ranch Barbara and Robert Ballard **Bill Bauer** Mary Jo Beckman Joann Benjamin Michael Berry **Gary Biggerstaff** Marcy Blacker Barbara Blakeney Karen and Robert Bocksel Terry and Milt Boggs Lynn Boice Jenny Booth Gary Borla Suzanne Bott **Edward Bowen** Ken Boyden Allison Boyrer Ross Braun Laura Brinkerhoff Trish Broersma Sarah Bronson Octavia Brown Virginia Buechner-Maxwell Caisson Platoon Equine **Assisted Program** Carolyn Calfee Lisa Cambell Luis and Carol Cardenas Carlisle Academy Renee Casady

Cheryl Casati **Grace Jones Richardson** Central Kentucky Riding For Trust Chris Gruendeman Hope Doug Chappell Paul and Pam Haefner Cheff Therapeutic Riding Kathleen and Kent Har-Center baugh Linda Clifford **Brittany Harden** Joan Cox Danzansky Susan Harding Nancy Coyne Harmon Foundation Hope Crabtree Joanne Hart Pam Cusick Dr. Kathi Heiber Joan Cutler Catherine Held Stuart Daly **KC Henry** Liz Dampsey Cynthia Hogle Sallie and Saunders Dixon HorseMAREship Jen Donahue Carol and Marty Huegel Lydia Donaldson and Wil-Guy and Judy Hunter liam McCormick Indiana Gump Foundation Linda Donatelli Bonnie Jackson Dream Horse AZ **Erica Jex Gergely DreamPower Foundation** Michael Kaufmann and Martha and Richard Duben-Richard Graham sky Lili Kellogg Kathleen Dunn Heather Kennell **EAGALA** Alicia Kershaw Phyllis Erdman **Evan Krichevsky** Erskine & Associates Equine Judith and Ronald Kruse Veterinary Practice John Kundtz **Excel Printing & Graphics** Rebecca Lafave First Light Farm Leesa Lane Jane and Robert Fitzpatrick Beth Lanning **Sherry Flores** Linda Lee-Picazio FRDI/HETI **Brad Lewis** Freedom Farm Kim Logan Kathleen Freemon Laura Lubbers David and Diana Freshwater Maureen MacNamara Friends of Sound Horses Tara Mahoney Karen and John Gardner Liz and Jon Mahony Chris Garwood and Patricia Karyn Malinowski Ochman Priscilla Marden Judith Gibbons Dinah Mark Penny Giovanetti Markel Corporation

Lisa May Marion May Susan McMunn Kevin and Kelly McShane Sue Miller Hanna Miller Mind-Body-Science Pam Mitchell Rustin Moore Dorothea Moore Diane Morrow Dr. Mark Mosley and Kristan Mosley, OTRL Megan Mueller Samantha Nerove Nicholas and Frances Frank Foundation Tim and Cindy O'Neill William and Katherine O'Neill Ann O'Shallie Mary Rose Paradis Jennifer Parker **PATH International** Larry and Margaret Pence Pilgrim Law Office Plott Financial Consulting Paula Poad Kim and Jose Polo Gregory, Natalie, Vanessa, Joseph & Jessica Polo Susan and Michael Prokop **Beth Racine** Barbara Rector Christine Remy Michael Richardson Jeffrey and Holly Ridgway Meredith and Joshua Rubin Cynthia Ruiz Maria Savala-Mahany Kathy and Chuck Sawyer **Sentinel Trust Company** Lynn Shaw **Howard Shenk**

Alison Souness Emily Southgate Gina Sperry JanaLee Sponberg Elizabeth Sterling Earl and Karen Strimple Jane Strong Richard and Lynnette Stuart Kevin and Danielle Sweeney **Brian and Debbie Sweeney** Molly Sweeney Mark and Meg Sweeney Harry and Marilyn Swimmer Jose Szapocznik Shelene Taylor The Horse Institute The Triple T Foundation Clare Thomas-Pino C. Mike Tomlinson Susan and Humphrey Tyler **Unbridled Acres** Heidi Vanderbilt Shari Walls Joe Wappelhorst Carolyn Weaver Janet and Freddie Wilkinson William J. & Dorothy K. O'Neill Foundation Mark and Joan Williams Edith Wislocki and Alfred Darby **Bob Woelk** Sandra Zaccari Alison Zack Darrell Autumn Zick

Anita and Giora Shkedi

Joy Simon

Peggy Smithson

Marilyn Sokolof

Honor & Memorial Acknowledgements

Howard Markman

Boo Martin

In honor of Ann Alden, from David & Ada Alden, Marcy Blacker, Lynn Boice, Carolyn Calfee, Linda Donatelli, Liz and Jon Mahony, Barbara Rector, Howard Shenk, Shelene Taylor, Marck & Joan Williams and In honor of Judith Lightfoot, from Susan & Mind-Body Science

Rose Goldfine

Sandra Gould

In honor of Ann Alden and Barbara Rector, from Dinah Mark

In honor of Cate Sump from Cynthia Hogle In honor of Chuck Chapman & Raymond and Carolyn Liverzani, from Dr. Kathi Heiber

In honor of Harry Swimmer, from Joy Simon

In honor of Jamie Stevener and New Horizon Ranch, from Ross Braun In honor of JT Picazio, from Linda Lee-Pi-

Humphrey Tyler

In honor of KC Henry, from Judith & Ronald Kruse and Janet & Freddie Wilkinson In honor of Maureen MacNamara, from Michael Kaufmann & Richard Graham In honor of Molly Sweeney, from Octavia Brown, Linda Clifford, Kathleen Dunn, Lili Kellogg, Kevin & Kelly McShane, Brian & Debbie Sweeney and Sentinel Trust Co.

In honor of Peg, from Lisa May In honor of Phillip Miller, from Unbridled

In honor of Rachael Donahue, from Jen Donahue

In honor of Riding To the Top, from Sarah

In honor of Sarah Britt, from Rose Goldfine In honor of Tim and Cindy O'Neill, from William & Katherine O'Neill

In memory of Jane Davison, from Jeffrey & Holly Ridgway

In memory of Paul Smith, from Adventures in Awareness

2016 BOARD OF DIRECTORS AND ADVISORS

BOARD OF DIRECTORS

Paul T. Haefner, PhD, President Middleburg, VA

Larry Pence, CSM US Army, Ret., V. President

Fredericksburg, VA

Molly Sweeney, V. President Hockley, TX

C. Mike Tomlinson, DVM, MBA, V. President and Treasurer

Thousand Oaks, CA
Patricia Ochman, B.C.L., LL.B,

Secretary Canada

Ann Alden, MA Sonoita, AZ

Pam Cusick, MA Parkton, MD Alison Zack Darrell, MS Stonington, CT

Maureen MacNamara

Boone, NC

Michael Richardson

Hico, TX

Lynn Shaw, MA Aurora, OH

Executive Directors:

Ken Boyden, JD, EdD, started 2017

Rydal, PA

KC Henry, immediate past E.D.

Chagrin Falls, OH

AMBASSADORS

Allan Hamilton Tucson, AZ

Philip Tedeschi, MSSW, LCSW

Denver, CO

Image courtesy Research Center for Human-Animal Interaction, Univ. of MO, College of Veterinary Medicine

SCIENTIFIC ADVISORY COUNCIL

Heather Ajzenman, OTD, OTR/L Manchester, NH

 $Heidi\ Banse,\ DVM,\ PhD,\ DACVIM$

(LA) Canada

Margaret Bass, PhD

Miami, FL

Susan Brenneman, PT, PhD

Lansdale, PA

Virginia Buechner-Maxwell, DVM

Fairlawn, VA

Renee Casady, PT, MS, HPCS

National City, MI

Pam Cusick, MA Parkton, MD

Lydia Donaldson, VMD, PhD, Dip-

lomate ACVAA Middleburg, VA

Manon Dontje, PhD

UK

Erica Jex Gergely, PhD

Kalamazoo, MI

Judith Gibbons, PhD Central America

Erin Grogan, PhD

West Chesterfield, NH

Paul Haefner, PhD Middleburg, VA

Victoria Haehl, PhD

Chelsea, MI

Carolyn Hammer, DVM, PhD

Fargo, ND

Donna Latella, EdD, OTR/L

Guilford, CT

Laura Lubbers, PhD

Perkasie, PA

Karyn Malinowski, PhD

Manville, NJ

Arieahn Matamonasa, PhD

Aurora, IL

Nancy McGibbon MS, PT, HPCS Green Valley,

Megan Kiely Mueller, PhD

Medfield MA

Tim Shurtleff, OTD, OTR/L

Villa Ridge, MO

Deborah Silkwood-Sherer

DHS, PT, HPCS

Holt, MI

Emily Southgate, PhD Middleburg, VA

Clare Thomas-Pino Dover Foxcroft, ME

Maureen Vidrine, DNP, APRN-

PMH. BC

Monroe, Georgia

Leslie Williams, DVM, MPH,

DACVA

Sherborn, MA



RESEARCH

Horses and Humans Research Foundation